

## Measures List National Health Security Preparedness Index, 2021 Release

The 2021 release of the National Health Security Preparedness Index includes 130 measures of capabilities that research and experience have shown to be important in protecting people from the health consequences of large-scale hazards and emergencies. Responsibility for achieving these capabilities spans across both public and private sector agencies and organizations, from federal, state, and local public health and emergency management to health care providers, businesses, and volunteer organizations across the United States. Data included in the Index is drawn from more than 60 different sources. This document describes each measure in detail, providing key information about data source(s) and measurement limitations that should be considered when using the Index to understand and address gaps in health security capabilities. The most recently available years of data for each measure (as of February of the release year) are used in computing the Index.

Domain 1: Health Security Surveillance	2
Subdomain 1.1: Health Surveillance & Epidemiological Investigation	2
Subdomain 1.2: Biological Monitoring & Laboratory Testing	
Domain 2: Community Planning & Engagement Coordination	8
Subdomain 2.1: Cross-Sector / Community Collaboration	
Subdomain 2.2: Children & Other At-Risk Populations	<u>c</u>
Subdomain 2.3: Management of Volunteers during Emergencies	9
Subdomain 2.4: Social Capital & Cohesion	10
Domain 3: Incident & Information Management	11
Subdomain 3.1: Incident Management	11
Subdomain 3.2: Information Management	12
Domain 4: Health Care Delivery	13
Subdomain 4.1: Prehospital Care	13
Subdomain 4.2: Hospital and Physician Services	14
Subdomain 4.3: Long-Term Care	16
Subdomain 4.4: Mental & Behavioral Health Care	17
Subdomain 4.5: Home Care	18
Domain 5: Countermeasure Management	18
Subdomain 5.1: Medical Materiel Management, Distribution, & Dispensing	18
Subdomain 5.2: Countermeasure Utilization & Effectiveness	19
Domain 6: Environmental & Occupational Health	19
Subdomain 6.1: Food & Water Security	19
Subdomain 6.2: Environmental Monitoring	22
Subdomain 6.3: Occupational Health	25
Subdomain 6.4: Built Environment	25

<sup>\*</sup>This is a Foundational Measure that is universally achieved for all jurisdictions and all years of the Index. Values were confirmed in the baseline year, because data are not available for all subsequent years.

Data Date(s)

#### **Domain 1: Health Security Surveillance**

Subdomain 1.1: Health Surveillance & Epidemiological Investigation

#### M17\* - State health department participates in the Behavioral Risk Factor Surveillance System (BRFSS)

2012-2019

Source: Centers for Disease Control and Prevention (CDC), Behavioral Risk Factor Surveillance System Survey Questionnaire (BRFSS). Atlanta, Georgia: U.S. Department of Health and Human Services, Centers for Disease Control and Prevention. Survey data analyzed by authors.

Limitations: The state's extensiveness of participation in the BRFSS based on sampling and instrumentation is not measured, and varies widely across states.

### M18 - Number of epidemiologists per 100,000 population in the state, by quintile (1=Lowest Quintile, 5=Highest Quintile)

2012-2019

Source: Bureau of Labor Statistics (BLS), Occupational Employment Statistics (OES) and Association of State and Territorial Health Officials (ASTHO) Profile of State and Territorial Public Health—2012 and 2016 Epidemiologists by Jurisdiction

Limitations: The measure may overestimate the number of epidemiologists who are available to prepare for and respond to emergencies, because it counts all personnel regardless of the occupational settings in which they practice and the job responsibilities they perform. The BLS and other national data sources on health provider supply have been shown to undercount certain types of professionals and may differ considerably from the estimates available from state licensing boards. Since the measurement undercounting in the BLS data is expected to be relatively consistent across states, this is unlikely to cause significant bias in the Index state and national results. The BLS produces occupational estimates by surveying a sample of non-farm establishments. As such, estimates produced through the OES program are subject to sampling error.

#### M19\* - State health department participates in the Epidemic Information Exchange (Epi- 2013—2019 X) System

Source: Centers for Disease Control and Prevention (CDC), The Epidemic Information Exchange (Epi-X) Program

Limitations: The measure does not evaluate the quality or comprehensiveness of state participation in the system.

### M20\* - State health department participates in the National Electronic Disease Surveillance System (NEDSS)

2013—2015

Source: Centers for Disease Control and Prevention (CDC), Division of Health Informatics and Surveillance (DHIS), National Electronic Disease Surveillance System (NEDSS)

Limitations: The measure does not evaluate the quality or comprehensiveness of state participation in the system.

### M22 - State health department has an electronic syndromic surveillance system that can report and exchange information 2019

Source: Association of State and Territorial Health Officials (ASTHO), ASTHO Profile of State Public Health: Volume Three

Limitations: Data are self-reported by state public health agency personnel and may reflect differences in awareness, perspective, and interpretation among respondents. Nevada did not complete the survey used as the original data source but they subsequently provided information for this measure.

<sup>\*</sup>This is a Foundational Measure that is universally achieved for all jurisdictions and all years of the Index. Values were confirmed in the baseline year, because data are not available for all subsequent years.

# 2021 Release Measure ID, Data Source, and Limitations M217 - State public health laboratory has implemented the laboratory information management system (LIMS) to exchange laboratory information and results electronically with hospitals, clinical labs, state epidemiology units, and federal agencies

Source: Association of Public Health Laboratories (APHL), Comprehensive Laboratory Services Survey (CLSS) Limitations: Data are self-reported by public health laboratory representatives and may reflect differences in awareness, perspective, and interpretation among respondents.

M220 - State has legal requirement for nongovernmental laboratories (e.g. clinical, hospital-based) in the state to send clinical isolates or specimens associated with reportable foodborne diseases to the state public health laboratory

Source: Association of Public Health Laboratories (APHL), Comprehensive Laboratory Services Survey (CLSS) Limitations: Data are self-reported by public health laboratory representatives and may reflect differences in awareness, perspective, and interpretation among respondents. Selected responses from the 2016 survey have been corrected for North Carolina and therefore no longer correspond to the originally published survey results.

M256\* - State public health laboratory participates in either of the following federal surveillance programs: Foodborne Diseases Active Surveillance Network (FoodNet) or National Molecular Subtyping Network for Foodborne Disease Surveillance (PulseNet)

2012, 2014, 2016, & 2018

Source: Association of Public Health Laboratories (APHL), Comprehensive Laboratory Services Survey (CLSS) Limitations: The measure does not evaluate the quality or comprehensiveness of participation in the surveillance networks.

## M23 - Percent of foodborne illness outbreaks reported to Centers for Disease Control and Prevention (CDC) by state and local public health departments for which a causative infectious agent is confirmed

2012-2019

Source: Centers for Disease Control and Prevention (CDC), National Outbreak Reporting System (NORS) Limitations: The measure does not evaluate the quality or comprehensiveness of the state's reporting of foodborne illness outbreaks.

### M289\* - State health department participates in a broad prevention collaborative 2013—2019 addressing health care-associated infections (HAIs)

Source: Centers for Disease Control and Prevention (CDC), National Healthcare Safety Network (NHSN), Prevention Status Reports

Limitations: The measure does not evaluate the quality, comprehensiveness, or effectiveness of HAI prevention collaboratives.

### M290 - State has a public health veterinarian 2014 & 2015, 2017—2020

Source: National Association of State Public Health Veterinarians (NASPHV), Designated and Acting State Public Health Veterinarians

Limitations: The measure does not evaluate the quality or comprehensiveness of the veterinarian's integration into an animal response plan or coordination with other animal-related resources, such as a board of animal health, particularly in an emergency response situation.

M265 - State uses an Electronic Death Registration System (EDRS)	2014—2018,
	2020-2021

Source: National Association for Public Health Statistics and Information Systems (NAPHSIS), Electronic Death Registration Systems (EDRS) by Jurisdiction (State)

Limitations: The measure does not evaluate the quality or comprehensiveness of the state's death registration system, or indicate other redundant systems that might be used if the EDRS is not available such as in the event of cyber-attacks and power outages.

<sup>\*</sup>This is a Foundational Measure that is universally achieved for all jurisdictions and all years of the Index. Values were confirmed in the baseline year, because data are not available for all subsequent years.

2021 Release Measure ID, Data Source, and Limitations	Data Date(s)
M801* - State public health laboratory participates in the Centers for Disease Control	2012, 2014,
and Prevention (CDC) Influenza surveillance program and/or the World Health	2016, & 2018
Organization (WHO) Influenza Surveillance Network	

Source: Association of Public Health Laboratories (APHL), Comprehensive Laboratory

Services Survey (CLSS)

Limitations: The measure does not evaluate the quality or comprehensiveness of participation in the

surveillance networks.

samples properly during an LRN-C exercise

# Domain 1: Health Security Surveillance Subdomain 1.2: Biological Monitoring & Laboratory Testing M1\* - Public Health Emergency Preparedness (PHEP) Cooperative Agreement-funded Laboratory Response Network chemical (LRN-C) laboratories collect, package, and ship

Source: Centers for Disease Control and Prevention (CDC), Office of Public Health Preparedness and Response (OPHPR), National Snapshot of Public Health Preparedness

Limitations: The measure is based on an exercise that includes only simulated samples, excluding real-life scenarios such as mislabeled specimens or specimens arriving at the laboratory at different times.

2013-2020

## M1314 - State public health chemical OR radiological terrorism/threat laboratory is accredited or certified by the College of American Pathologists (CAP) or Clinical Laboratory Improvement Amendments (CLIA)

Source: Association of Public Health Laboratories (APHL), All-Hazards Laboratory Preparedness Survey

Limitations: Certification may be based on simulated samples, since actual chemical samples are lacking. Selected responses from the 2018 survey have been corrected for Colorado and therefore no longer correspond to the originally published survey results.

M208 - State public health laboratory has a permit for the importation and	2012, 2014,
transportation of materials, organisms, and vectors controlled by U.S. Department of	2016, & 2018
Agriculture/Animal and Plant Health Inspection Service (USDA/APHIS)	

Source: Association of Public Health Laboratories (APHL), Comprehensive Laboratory Services Survey (CLSS)

Limitations: Data are self-reported by public health laboratory representatives and may reflect differences in awareness, perspective, and interpretation among respondents.

# M8 - State public health laboratory has a plan for a six- to eight-week surge in testing capacity to respond to an outbreak or other public health event, with enough staffing capacity to work five 12-hour days for six to eight weeks in response to an infectious disease outbreak, such as novel influenza A (H1N1)

Source: Association of Public Health Laboratories (APHL), All-Hazards Laboratory

Preparedness Survey

Limitations: The measure does not evaluate the quality or comprehensiveness of the plan, or the frequency of the plan being used or tested.

### M9 - State public health laboratory has a continuity of operations plan consistent with 2013—2020 National Incident Management System (NIMS) guidelines

Source: Association of Public Health Laboratories (APHL), All-Hazards Laboratory Preparedness Survey

Limitations: The measure does not evaluate the quality or comprehensiveness of the plan, or the frequency of the plan being used or tested.

<sup>\*</sup>This is a Foundational Measure that is universally achieved for all jurisdictions and all years of the Index. Values were confirmed in the baseline year, because data are not available for all subsequent years.

Data Date(s)

M11 - State public health laboratory has a plan to receive specimens from sentinel clinical laboratories during nonbusiness hours

2013-2020

Source: Association of Public Health Laboratories (APHL), All-Hazards Laboratory

Preparedness Survey

Limitations: The measure does not evaluate the quality or comprehensiveness of the plan, or the frequency of the plan being used or tested.

M12 - State public health laboratory has the capacity in place to assure the timely transportation (pick-up and delivery) of samples 24/7/365 days to the appropriate public health Laboratory Response Network (LRN) reference laboratory

Source: Association of Public Health Laboratories (APHL), All-Hazards Laboratory

Preparedness Survey

Limitations: The measure does not evaluate the timeliness of the sample transport, or the whether the transport is available for all sentinel laboratories in the state.

M211 - Percent of 10 tests for infectious diseases that the state public health laboratory 2012, 2014, provides or assures, including the study of the characteristics of a disease or organism in blood tests for arbovirus, hepatitis C, Legionella, measles, mumps, Neisseria meningitides serotyping, Plasmodium identification, Salmonella serotyping, Shigella serotyping, and Varicella

Source: Association of Public Health Laboratories (APHL), Comprehensive Laboratory Services Survey (CLSS)

Limitations: The state public health laboratory testing "provide or assure" standard is based on national consensus expert opinion and is recommended by the Centers for Disease Control and Prevention (CDC) and the U.S. Department of Health and Human Services (HHS), and is reflected in the Healthy People 2020 goals concerning access to comprehensive public health and environmental health laboratory testing. This standard requires the state public health authority, through its laboratory, engage in the testing and reporting process – either by directly performing the tests or by assuring that alternative labs perform the tests adequately. This standard is designed to ensure that laboratory testing, interpretation, and reporting is guided by specialized public health knowledge and expertise found within the state public health agency, and that timely, effective public health responses and protective actions occur based on test results. States that provide testing through another type of laboratory, with no assurance role performed by the public health laboratory, do not meet this standard. (see

https://www.ncbi.nlm.nih.gov/pmc/articles/PMC2846798/). Inclusion of this measure ensures that the Index is consistent with national expert opinion and federal recommendations concerning comprehensive public health laboratory testing capabilities. However, the measure does not assess the quality of the testing, the timeliness of results reporting to enable responses to public health threats, nor whether sufficient capacity exists to test the volume of samples required during a health security event.

M216 - Percent of 15 to 21 tests for infectious diseases that the state public health laboratory provides or assures including: antimicrobial susceptibility testing confirmation for vancomycin resistant Staphylococcus aureus, Anaplasmosis (Anaplasma phagocytophilum), Babesiosis (Babesia sp.), botulinum toxin—mouse toxicity assay, Dengue Fever, Hantavirus serology, identification of unusual bacterial isolates, identification of fungal isolates, identification of parasites, Klebsiella pneumoniae Carbapenemase (blaKPC) by PCR, Legionella by culture or PCR, malaria by PCR, norovirus by PCR, Powassan virus, rabies. The 2018 Comprehensive Laboratory Services Survey (CLSS) survey added six more items (i.e., Ebola, Chikungunya, Zika, Lyme disease, Candida auris, and Mobilized colistin resistance (MCR 1)), increasing the total to 21 tests.

<sup>\*</sup>This is a Foundational Measure that is universally achieved for all jurisdictions and all years of the Index. Values were confirmed in the baseline year, because data are not available for all subsequent years.

Data Date(s)

Source: Association of Public Health Laboratories (APHL), Comprehensive Laboratory Services Survey (CLSS)

Limitations: The state public health laboratory testing "provide or assure" standard is based on national consensus expert opinion and is recommended by the Centers for Disease Control and Prevention (CDC) and the U.S. Department of Health and Human Services (HHS), and is reflected in the Healthy People 2020 goals concerning access to comprehensive public health and environmental health laboratory testing. This standard requires the state public health authority, through its laboratory, engage in the testing and reporting process – either by directly performing the tests or by assuring that alternative labs perform the tests adequately. This standard is designed to ensure that laboratory testing, interpretation, and reporting is guided by specialized public health knowledge and expertise found within the state public health agency, and that timely, effective public health responses and protective actions occur based on test results. States that provide testing through another type of laboratory, with no assurance role performed by the public health laboratory, do not meet this standard. (see

https://www.ncbi.nlm.nih.gov/pmc/articles/PMC2846798/). Inclusion of this measure ensures that the Index is consistent with national expert opinion and federal recommendations concerning comprehensive public health laboratory testing capabilities. However, the measure does not assess the quality of the testing, the timeliness of results reporting to enable responses to public health threats, nor whether sufficient capacity exists to test the volume of samples required during a health security event. Selected responses from the 2016 survey have been corrected for North Carolina and therefore no longer correspond to the originally published survey results.

## M2 - Percent of Laboratory Response Network biological (LRN-B) proficiency tests successfully passed by Public Health Emergency Preparedness (PHEP) Cooperative Agreement-funded laboratories

2011-2017

Source: Centers for Disease Control and Prevention (CDC), Office of Public Health Preparedness and Response (OPHPR), National Snapshot of Public Health Preparedness

Limitations: Laboratories may not undergo proficiency testing for all assay capabilities.

## M3 - Percent of pulsed field gel electrophoresis (PFGE) subtyping data results for E. coli submitted to the Centers for Disease Control and Prevention (CDC) PulseNet national database within four working days of receiving samples from clinical laboratories

2011-2017

Source: Centers for Disease Control and Prevention (CDC), Office of Public Health Preparedness and Response (OPHPR), National Snapshot of Public Health Preparedness

Limitations: The measure does not encompass time elapsed for specimen transport and identification, and is limited to foodborne agents that have PFGE subtyping.

## M5 - Percent of chemical agents correctly identified and quantified from unknown 2 samples during unannounced proficiency testing during the state's Laboratory Response Network (LRN) Emergency Response Pop Proficiency Test (PopPT) Exercise

2013—2017

Source: Centers for Disease Control and Prevention (CDC), Office of Public Health Preparedness and Response (OPHPR), National Snapshot of Public Health Preparedness

Limitations: The measure does not consider the public health laboratory's ability to process a large number of samples.

## M7 - Number of additional chemical agent detection methods—beyond the core 2011—2017 methods—demonstrated by Laboratory Response Network chemical (LRN-C) Level 1 or 2 laboratories in the state

Source: Centers for Disease Control and Prevention (CDC), Office of Public Health Preparedness and Response (OPHPR), National Snapshot of Public Health Preparedness

<sup>\*</sup>This is a Foundational Measure that is universally achieved for all jurisdictions and all years of the Index. Values were confirmed in the baseline year, because data are not available for all subsequent years.

Data Date(s)

Limitations: The measure does not consider all methods that the laboratory is capable of testing.

M286 - Number of chemical threat and multi-hazards preparedness exercises or drills the 2013—2020 state public health laboratory conducts or participates in annually

Source: Association of Public Health Laboratories (APHL), All-Hazards Laboratory

**Preparedness Survey** 

Limitations: Data are self-reported by public health laboratory representatives and may reflect differences in awareness, perspective, and interpretation among respondents.

M287 - Percent of pulsed field gel electrophoresis (PFGE) sub-typing data results for
Listeria monocytogenes submitted by state and local public health laboratories to the
Centers for Disease Control and Prevention (CDC) PulseNet national database within four
working days of receiving samples from clinical laboratories

Source: Centers for Disease Control and Prevention (CDC), Office of Public Health Preparedness and Response (OPHPR), National Snapshot of Public Health Preparedness

Limitations: The measure does not consider the volume of samples processed or quality of PFGE results, nor encompass time elapsed for specimen transport and identification.

M288 - Number of core chemical agent detection methods demonstrated by Level 1 or 2 2011—2017 LRN-C laboratories in the state

Source: Centers for Disease Control and Prevention (CDC), Office of Public Health Preparedness and Response (OPHPR), National Snapshot of Public Health Preparedness

Limitations: The measure does not consider compliance with the standards set by the Clinical Laboratory Improvement Amendments (CLIA) and the College of American Pathologists (CAP) accreditation program, and whether proficiency is achieved annually for the methods reported. Selected responses from the original data source have been corrected for Colorado and therefore no longer correspond to the originally published results.

#### M911 - State public health laboratory provides or assures testing for soil

2012, 2014, 2016, & 2018

Source: Association of Public Health Laboratories (APHL), Comprehensive Laboratory Services Survey (CLSS) Limitations: The state public health laboratory testing "provide or assure" standard is based on national consensus expert opinion and is recommended by the Centers for Disease Control and Prevention (CDC) and the U.S. Department of Health and Human Services (HHS), and is reflected in the Healthy People 2020 goals concerning access to comprehensive public health and environmental health laboratory testing. This standard requires the state public health authority, through its laboratory, engage in the testing and reporting process – either by directly performing the tests or by assuring that alternative labs perform the tests adequately. This standard is designed to ensure that laboratory testing, interpretation, and reporting is guided by specialized public health knowledge and expertise found within the state public health agency, and that timely, effective public health responses and protective actions occur based on test results. States that provide testing through another type of laboratory, with no assurance role performed by the public health laboratory, do not meet this standard. (see

https://www.ncbi.nlm.nih.gov/pmc/articles/PMC2846798/). Inclusion of this measure ensures that the Index is consistent with national expert opinion and federal recommendations concerning comprehensive public health laboratory testing capabilities. However, the measure does not assess the quality of the testing, the timeliness of results reporting to enable responses to public health threats, nor whether sufficient capacity exists to test the volume of samples required during a health security event. Selected responses from the 2016 survey have been corrected for North Carolina and therefore no longer correspond to the originally published survey results.

<sup>\*</sup>This is a Foundational Measure that is universally achieved for all jurisdictions and all years of the Index. Values were confirmed in the baseline year, because data are not available for all subsequent years.

Data Date(s)

M902 - State has a high-capability laboratory to detect chemical threats (Level 1 or 2 LRN-C laboratory)

2016 & 2017

Source: Centers for Disease Control and Prevention (CDC), National Center for Environmental Health (NCEH), Division of Laboratory Sciences (DLS), Emergency Response Branch (ERB) Limitations: The measure does not evaluate the quality or comprehensiveness of the laboratory capabilities.

Domain 2: Community	<b>Planning &amp; Engagement Coordinatio</b>	n
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Subdomain 2.1: Cross-Sector / Community Collaboration

### M87 - State health department is accredited by the Public Health Accreditation Board (PHAB)

2013-2020

Source: Public Health Accreditation Board (PHAB), Health Departments in e-PHAB Limitations: The measure does not reflect health departments that are in process of achieving accreditation.

M501 - Percent of the state's population served by a comprehensive public health system, as determined through the National Longitudinal Survey of Public Health Systems (NLSPHS)

2012, 2014, 2016, & 2018

Source: National Longitudinal Survey of Public Health Systems (NLSPHS), National Association of County and City Health Officials (NACCHO), and Area Resource File (ARF) data analyzed by PMO and affiliated personnel Limitations: Data are self-reported by local health department representatives and may reflect differences in perspective and interpretation among respondents.

## M9031 - Percent of hospitals in the state that participate in health care preparedness coalitions supported through the federal Hospital Preparedness Program of the Office of the Assistant Secretary for Preparedness and Response (ASPR)

2013-2017

Source: Division of National Healthcare Preparedness Programs in the Office of the Assistant Secretary for Preparedness and Response (ASPR) at the U.S. Department of Health and Human Services (HHS) Limitations: The measure does not evaluate the quality or comprehensiveness of participation in the health care preparedness coalitions.

# M9032 - Percent of emergency medical service agencies in the state that participate in health care preparedness coalitions supported through the federal Hospital Preparedness Program of the Office of the Assistant Secretary for Preparedness and Response (ASPR)

2013-2017

Source: Division of National Healthcare Preparedness Programs in the Office of the Assistant Secretary for Preparedness and Response (ASPR) at the U.S. Department of Health and Human Services (HHS) Limitations: The measure does not evaluate the quality or comprehensiveness of participation in the health care preparedness coalitions.

# M9033 - Percent of emergency management agencies in the state that participate in health care preparedness coalitions supported through the federal Hospital Preparedness Program of the Office of the Assistant Secretary for Preparedness and Response (ASPR)

2013-2017

Source: Division of National Healthcare Preparedness Programs in the Office of the Assistant Secretary for Preparedness and Response (ASPR) at the U.S. Department of Health and Human Services (HHS) Limitations: The measure does not evaluate the quality or comprehensiveness of participation in the health care preparedness coalitions.

<sup>\*</sup>This is a Foundational Measure that is universally achieved for all jurisdictions and all years of the Index. Values were confirmed in the baseline year, because data are not available for all subsequent years.

Data Date(s)

M9034 - Percent of local health departments in the state that participate in health care preparedness coalitions supported through the federal Hospital Preparedness Program of the Office of the Assistant Secretary for Preparedness and Response (ASPR)

2013-2017

Source: Division of National Healthcare Preparedness Programs in the Office of the Assistant Secretary for Preparedness and Response (ASPR) at the U.S. Department of Health and Human Services (HHS) Limitations: The measure does not evaluate the quality or comprehensiveness of participation in the health care preparedness coalitions.

#### **Domain 2: Community Planning & Engagement Coordination**

Subdomain 2.2: Children & Other At-Risk Populations

#### M163 - Number of pediatricians per 100,000 population under 18 years old in the state

2010, 2015— 2018

Source: U.S. Health Resources & Services Administration (HRSA), Area Health Resources Files (AHRF)

Limitations: The measure does not consider mutual aid plans that may be in place for health care facilities to supplement the number of available pediatricians in the event of an emergency.

#### M164 - Number of obstetricians and gynecologists per 100,000 female population in the state

2010, 2015— 2018

Source: U.S. Health Resources & Services Administration (HRSA), Area Health Resources Files (AHRF)

Limitations: The measure does not consider mutual aid plans that may be in place for health care facilities to supplement the number of available obstetricians and gynecologists in the event of an emergency.

#### M170 - Percent of state children (0-18 years) who reside within 50 miles of a pediatric trauma center, including out-of-state centers

2012—2018

Source: American Hospital Association (AHA), AHA Annual Survey of Hospitals data and U.S. Census population data analyzed by PMO personnel

Limitations: The measure does not indicate the capacity of the trauma center, such as the number of available pediatric trauma beds or inpatient treatment beds for the care of pediatric patients.

#### M53B - Percent of youth who missed one or more days of school in past month due to concerns about safety (reverse coded)

2011, 2013, 2015, 2017, &

2019

Source: Youth Risk Behavior Survey

Limitations: The measure is self-reported and does not distinguish reasons for safety

concerns.

#### **Domain 2: Community Planning & Engagement Coordination**

Subdomain 2.3: Management of Volunteers during Emergencies

### M36\* - State participates in Emergency System for Advance Registration of Volunteer Health Professionals (ESAR-VHP) Program and has a state volunteer registry

Source: Assistant Secretary for Preparedness and Response (ASPR), The Emergency System for Advance Registration of Volunteer Health Professionals (ESAR-VHP)

Limitations: The measure does not evaluate the quality or comprehensiveness of the volunteer registry, indicate whether it has been used during exercises or responses, or reflect state capacity for volunteer surge during emergencies.

### M266 - Percent of the state's population who live in a county with a Community Emergency Response Teams (CERT)

2012—2014,

2014-2019

2016

<sup>\*</sup>This is a Foundational Measure that is universally achieved for all jurisdictions and all years of the Index. Values were confirmed in the baseline year, because data are not available for all subsequent years.

Data Date(s)

Source: Federal Emergency Management Agency (FEMA), Citizen Corps Community Emergency Response Teams (CERT), and U.S. Census data analyzed by PMO personnel

Limitations: The measure does not evaluate the quality or comprehensiveness of the CERT, including leadership strength, local and governmental agency support, or participation by multiple sectors.

#### M346 - Number of total Medical Reserve Corps (MRC) members per 100,000 population in the state

2012—2014, 2016—2018

Source: Medical Reserve Corps (MRC), MRC Units Database, and U.S. Census Bureau data analyzed by PMO personnel

Limitations: The measure does not evaluate the quality of the MRC management and current status of licensed/credentialed/trained members, or include other formal and informal systems of registering, credentialing, and managing health and medical volunteers such as Emergency System for the Advance Registration of Volunteer Health Professionals (ESAR-VHP).

### M176 - Number of Medical Reserve Corps (MRC) members who are physicians per 100,000 population in the state

2015-2018

Source: Medical Reserve Corps (MRC), MRC Units Database, and U.S. Census Bureau data analyzed by PMO personnel

Limitations: The measure does not evaluate the quality of the MRC management and current status of physician members who are licensed, credentialed, and received emergency response training.

### M179 - Number of Medical Reserve Corps (MRC) members who are nurses or advanced practice nurses per 100,000 population in the state

2015—2018

Source: Medical Reserve Corps (MRC), MRC Units Database, and U.S. Census Bureau data analyzed by PMO personnel

Limitations: The measure does not evaluate the quality of the MRC management and current status of nurses or advanced practice nurses who are licensed, credentialed, and received emergency response training.

### M186 - Number of Medical Reserve Corps (MRC) members who are other health professionals per 100,000 population in the state

2015—2018

Source: Medical Reserve Corps (MRC), MRC Units Database and Census Bureau data analyzed by PMO personnel.

Limitations: The measure does not evaluate the quality of the MRC management and current status of other health professionals who are licensed, credentialed, and received emergency response training.

Domain 2: Community Planning & Engagement Coordination	
Subdomain 2.4: Social Capital & Cohesion	
M175 - Percent of voting-eligible population in the state participating in the highest office election	2012, 2014 2016, 2018, &

Source: United States Election Project, General Election Turnout Rates

Limitations: The ideal numerator is total ballots counted (voting eligible population is the denominator), but these data are not available for all jurisdictions. Therefore, the Index uses a measure of the total votes cast for the highest office (e.g., presidential, gubernatorial, or congressional election).

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M188 - Percent o	f adults in the st	tate who volu	ınteer in thei	r commi	unities	2012—2015,
						2017

Source: Current Population Survey (CPS), Volunteer Supplement data analyzed by PMO personnel

<sup>\*</sup>This is a Foundational Measure that is universally achieved for all jurisdictions and all years of the Index. Values were confirmed in the baseline year, because data are not available for all subsequent years.

Data Date(s)

Limitations: Data do not reflect the frequency, regularity, or sustainability of volunteering, and respondents may be inclined to over-report their volunteerism.

M189 - Number of annual volunteer hours per state resident, 15 years and older

2012—2015,

2017

Source: Current Population Survey (CPS), Volunteer Supplement data analyzed by PMO personnel.

Limitations: Respondents may be inclined to over-report the number of hours they volunteer. Also, certain communities that have strong social cohesion may have a low reported rate, such as settings where both parents work full-time and may not have time to volunteer.

#### **Domain 3: Incident & Information Management**

Subdomain 3.1: Incident Management

## M10\* - State public health laboratory uses a rapid method (e.g., Health Alert Network (HAN), blast e-mail, or fax) to send messages to their sentinel clinical laboratories and other partners

2013-2020

Source: Association of Public Health Laboratories (APHL), All-Hazards Laboratory

Preparedness Survey

Limitations: The measure does not evaluate the frequency that the alert network is used or tested for routine or emergency messages, or whether it reaches all sentinel clinical laboratories and other partners in the state.

### M84 - State all hazards emergency management program is accredited by the Emergency 2014—202 Management Accreditation Program (EMAP)

Source: Emergency Management Accreditation Program (EMAP), Who Is Accredited?

Limitations: The measure does not consider state emergency management programs with conditional accreditation, and some states may choose not to pursue accreditation for various state and local reasons.

## M107 - Percent of local health departments in the state with an emergency preparedness coordinator for states with local health departments, excludes Rhode Island and Hawaii

2013 & 2016

Source: National Association of County and City Health Officials (NACCHO), 2013 National Profile of Local Health Departments

Limitations: The measure does not apply to states that do not have local health departments. The measure does not evaluate the quality or robustness of the local emergency management system.

### M229\* - State public health laboratory has a 24/7/365 contact system in place to use in case of an emergency

2012, 2014, 2016, & 2018

Source: Association of Public Health Laboratories (APHL), Comprehensive Laboratory Services Survey (CLSS)

Limitations: The measure does not evaluate the quality or comprehensiveness of the system, or the frequency of the plan being used or tested.

#### M150\* - State uses a system for tracking hospital bed availability during emergencies

2012-2019

Source: Assistant Secretary for Preparedness and Response (ASPR) Hospital Preparedness Program

Limitations: The measure data is collected by existing state and local reporting systems using secure data entry to measure bed counts during emergencies, and does not replace states' need to evaluate state and local bed count system development and implementation.

<sup>\*</sup>This is a Foundational Measure that is universally achieved for all jurisdictions and all years of the Index. Values were confirmed in the baseline year, because data are not available for all subsequent years.

Data Date(s)

## M701 - Average number of minutes for state health department staff with incident management lead roles to report for immediate emergency response duty (reverse coded)

2011-2017

Source: Centers for Disease Control and Prevention (CDC), Office of Public Health Preparedness and Response (OPHPR), National Snapshot of Public Health Preparedness

Limitations: Data are self-reported by health department representatives and may reflect differences in awareness, perspective, and interpretation among respondents.

#### M344 - State has adopted the Nurse Licensure Compact (NLC)

2014-2020

Source: National Council of State Boards of Nursing (NCSBN), Nurse Licensure Compact (NLC) Member States

Limitations: The measure does not evaluate state capacity to implement the agreement and incorporate out-of-state nurses into medical surge responses. Some states have other limited regional agreements precluding the need for participation in the national NLC.

## M338\* - State requires health care facilities to report health care-associated infections (HAIs) to the Centers for Disease Control and Prevention's (CDC's) National Healthcare Safety Network (NHSN) or other systems

2012-2019

Source: Centers for Disease Control and Prevention (CDC), National Healthcare Safety Network (NHSN), Healthcare—Associated Infections (HAI) Progress Report

Limitations: The measure does not evaluate the health care facility compliance with reporting requirements.

### M341\* - State law includes a general provision regulating the release of personally identifiable information (PII) held by the health department

2013-2019

Source: Centers for Disease Control and Prevention (CDC) Public Health Law Program resources (see <a href="https://www.cdc.gov/phlp/">https://www.cdc.gov/phlp/</a>)

Limitations: The measure does not evaluate the state's legal scope of authority, infrastructure to investigate violations, or other strategies to respond to inappropriate release of personal information.

#### M342\* - State law requires health care facilities to report communicable diseases to a health department

2013-2019

Source: Centers for Disease Control and Prevention (CDC), Division of Health Informatics and Surveillance (DHIS), National Electronic Disease Surveillance System (NEDSS)

Limitations: The measure does not evaluate the effectiveness of state monitoring and enforcement of reporting requirements, the timeliness or completeness of reporting, or the ability of the health departments to receive and use the reported information.

#### M345\* - State has adopted Emergency Management Assistance Compact (EMAC)

2014-2019

legislation

Source: National Emergency Management Association (NEMA)

Limitations: The measure does not evaluate state capacity to implement the agreement and incorporate out-of-state health care providers into medical surge responses.

#### **Domain 3: Incident & Information Management**

Subdomain 3.2: Information Management

### M64\* - State has a public information and communication plan developed for a mass prophylaxis campaign

2012-2019

Source: Centers for Disease Control and Prevention (CDC), Public Health Emergency Preparedness and Response Cooperative Agreement Program

<sup>\*</sup>This is a Foundational Measure that is universally achieved for all jurisdictions and all years of the Index. Values were confirmed in the baseline year, because data are not available for all subsequent years.

Data Date(s)

Limitations: The measure focuses on pre-event planning during a mass dispensing scenario and does not include planning for broader emergency scenarios, capacity for response-driven public information and risk communication strategies, or capabilities in implementing the plan.

#### M228 - Percent of households in the state with broadband in the home

2012-2019

Source: American Community Survey (ACS), 1-year estimate (GCT2801)

Limitations: The measure focuses only on fixed broadband connections, and does not include an indication of the broadband system's ability to remain operational in an emergency or disaster.

M906 - Percent of hospitals in the state that have demonstrated meaningful use of certified electronic health record technology (CEHRT). This includes the demonstration of meaningful use through either the Medicare or Medicaid EHR Incentive Programs. Critical Access hospitals are facilities with no more than 25 beds and located in a rural area further than 35 miles from the nearest hospital, and/or are located in a mountainous region.

Source: The Office of the National Coordinator for Health Information Technology, a division of the U.S. Department of Health and Human Services (HHS)

Limitations: The measure reflects performance during routine care delivery and may not reflect capabilities in emergency situations.

M907 - Percent of office-based medical doctors and doctors of osteopathy in the state 2013—2016 that have demonstrated meaningful use of certified electronic health record technology (CEHRT). This includes the demonstration of meaningful use through either the Medicare or Medicaid EHR Incentive Programs.

Source: The Office of the National Coordinator for Health Information Technology, a division of the U.S. Department of Health and Human Services (HHS)

Limitations: The measure reflects performance during routine care delivery and may not reflect capabilities in emergency situations.

M1001 - State's 911 authorities are capable of processing and interpreting location and caller information using Next Generation 911 infrastructure

Source: National 911 Program, Office of Emergency Medical Services (OEMS), National Highway Traffic Safety Administration (NHTSA), U.S. Department of Transportation (USDOT)

Limitations: Call centers and first responders may vary in the extent to which Next Generation 911 capabilities are implemented and used.

#### **Domain 4: Health Care Delivery**

Subdomain 4.1: Prehospital Care

### M140 - Number of emergency medical technicians (EMTs) and paramedics per 100,000 population in the state

2012-2019

Source: Bureau of Labor Statistics (BLS), Occupational Employment Statistics (OES)

Limitations: The measure may not distinguish licensed emergency medical technicians (EMTs) and paramedics from those that are licensed, practicing, and affiliated. The BLS and other national data sources have been shown to undercount certain types of health professionals, and may differ considerably from the estimates available from state licensing boards. Since the measurement undercounting in the BLS data are expected to be relatively consistent across states, they should not cause significant bias in the Index state and national results. The BLS produces occupational estimates by surveying a sample of non-farm establishments. As such, estimates produced through the OES program are subject to sampling error.

<sup>\*</sup>This is a Foundational Measure that is universally achieved for all jurisdictions and all years of the Index. Values were confirmed in the baseline year, because data are not available for all subsequent years.

Data Date(s)

### M331 - Percent of local emergency medical services (EMS) agencies that submit National EMS Information System (NEMSIS) compliant data (e.g., Version 2 in earlier years,

2015 & 2019

#### Version 3 in later years) to the state

Source: National Highway Traffic Safety Administration (NHTSA), State National EMS Information System (NEMIS) Progress Reports: State & Territory Version 2 Information

Limitations: The quality of local data submissions is not well documented and may vary across communities and states. Data submissions may not reflect the extent to which data are used to inform EMS system improvements.

### M349 - State has adopted emergency medical services (EMS) Personnel Licensure Interstate CompAct (REPLICA) legislation

2013—2018,

2020-2021

Source: National Association of State EMS Officials

Limitations: Other legal actions such as Emergency Management Assistance Compact (EMAC) and state emergency declarations may enable cross-border emergency medical services (EMS) practice without REPLICA.

## M350U - Average length of time in minutes between emergency medical services (EMS) notification and arrival at a fatal motor vehicle crash (MVC) in urban areas (reverse coded)

2015-2019

Source: National Highway Traffic Safety Administration (NHTSA), Fatality Analysis and Reporting System (FARS)

Limitations: Selected states fail to record response times for all fatal events.

### M350R - Average length of time in minutes between emergency medical services (EMS) 2015—2019 notification and arrival at a fatal motor vehicle crash (MVC) in rural areas (reverse coded)

Source: National Highway Traffic Safety Administration (NHTSA), Fatality Analysis and

Reporting System (FARS)

Limitations: Selected states fail to record response times for all fatal events.

#### **Domain 4: Health Care Delivery**

Subdomain 4.2: Hospital and Physician Services

### M147 - Median time in minutes from hospital emergency department (ED) arrival to ED departure for patients admitted to hospitals in the state (identifier ED-1) (reverse coded)

2013-2019

Source: Centers for Medicare & Medicaid Services (CMS), Timely and Effective Care—State

Limitations: The measure does not evaluate the severity of the patients' conditions, or the nature of their treatment between emergency department arrival and discharge.

## M148 - Median time in minutes from hospital admission decision to emergency department (ED) departure for patients admitted to hospitals in the state (identifier ED-2) (reverse coded)

2013-2020

Source: Centers for Medicare & Medicaid Services (CMS), Timely and Effective Care—State

Limitations: The measure does not evaluate the hospital's capacity to move patients from the emergency department to inpatient care during a mass casualty or other event.

### M152 - Percent of the state's population who live within 50 miles of a trauma center, including out-of-state centers

2012-2018

Source: American Hospital Association (AHA), AHA Annual Survey of Hospitals data, and U.S. Census population data analyzed by PMO personnel

Limitations: The measure does not evaluate the quality or comprehensiveness of care provided by the trauma centers.

<sup>\*</sup>This is a Foundational Measure that is universally achieved for all jurisdictions and all years of the Index. Values were confirmed in the baseline year, because data are not available for all subsequent years.

Data Date(s)

#### M160 - Number of physicians per 100,000 population in the state

2011-2018

Source: Area Health Resources Files (AHRF) data (Non-Federal Primary Care Physician data are from the American Medical Association Physician data).

Limitations: The measure does not consider mutual aid plans that may be in place for health care facilities to supplement the number of available physicians and surgeons in the event of an emergency.

### M167 - Number of active registered nurse (RN) and licensed practical nurse (LPN) licenses per 100,000 population in the state

2013—2016,

2018-2021

Source: National Council of State Boards of Nursing (NCSBN), National Nursing Database

Limitations: The measure does not consider mutual aid plans that may be in place to supplement the number of available RNs and LPNs in the event of an emergency. The source data may undercount the RNs and LPNs available to provide care during an emergency due to limited or non-reporting by some states.

#### M168 - Percent of the state's population living within 100 miles of a burn center,

2014 & 2018

including out-of-state centers

Source: American Burn Association (ABA) data on Burn Care Facilities analyzed by PMO personnel

Limitations: The measure does not evaluate the specialized resources needed for surge capacity when an emergency results in a large number of burn patients.

## M296 - Percent of hospitals in the state providing a specialty geriatric services program (includes general as well as specialized geriatric services, such as psychiatric geriatric services/Alzheimer care)

2012-2018

Source: American Hospital Association (AHA), Annual Survey of Hospitals

Limitations: The measure does not consider hospital geriatric services provided through contractual arrangements, the program's capacity to provide services during an emergency, or whether high quality care is provided to geriatric patients without having a designated specialty program.

## M297 - Percent of hospitals in the state providing palliative care programs (includes both palliative care program and/or palliative care inpatient unit, but excludes pain management program, patient-controlled analgesia, and hospice program)

Source: American Hospital Association (AHA), Annual Survey of Hospitals

Limitations: The measure does not evaluate the quality of services provided, or the program's capacity to provide services during an emergency.

## M298 - Number of hospital airborne infection isolation room (AIIR) beds per 100,000 population in the state, including hospitals with AIIR rooms within 50 miles from neighboring states

2012-2018

Source: American Hospital Association (AHA), Annual Survey of Hospitals

Limitations: The measure does not consider mutual aid plans that may be in place to supplement the number of available AIIR beds in the event of an emergency.

### M299 - Risk-adjusted 30-day survival rate (percent) among Medicare beneficiaries hospitalized in the state for heart attack, heart failure, or pneumonia

2011-2018

Source: The Commonwealth Fund, Aiming Higher: Results from a Scorecard on State Health System Performance

Limitations: Variation in state population health, such as obesity or smoking rates, may have a greater effect on the measure results than prevention and preparedness programs.

### M300 - Percent of hospitals in the state with a top quality ranking (Grade A) on the Hospital Safety Score (HSS)

Source: The Leapfrog Group, Hospital Safety Score (HSS)

<sup>\*</sup>This is a Foundational Measure that is universally achieved for all jurisdictions and all years of the Index. Values were confirmed in the baseline year, because data are not available for all subsequent years.

Data Date(s)

Limitations: The measure source data does not include critical access hospitals, specialty hospitals, pediatric hospitals, hospitals in Maryland, territories exempt from public reporting to Centers for Medicare & Medicaid Services (CMS), and others. Critical Access hospitals are facilities with no more than 25 beds and located in a rural area further than 35 miles from the nearest hospital, and/or are located in a mountainous region.

#### **Domain 4: Health Care Delivery**

Subdomain 4.3: Long-Term Care

### M308 - Average number of nurse (RN) staffing hours per resident per day in nursing homes in the state

2014-2021

Source: Centers for Medicare & Medicaid Services (CMS), Nursing Home State Averages

Limitations: The measure source data are collected during a specific two-week period and do not take into account variations related to season, region, resident acuity, skill mix of other care providers, and other factors. The measure does not evaluate staff availability for a disaster or whether staff received disaster response training.

### M309 - Average number of nursing assistant (CNA) staffing hours per resident per day in 2014—2021 nursing homes in the state

Source: Centers for Medicare & Medicaid Services (CMS), Nursing Home State Averages

Limitations: The measure source data are collected during a specific two-week period and do not take into account variations related to season, region, resident acuity, skill mix of other care providers, and other factors. The measure does not evaluate staff availability for a disaster or whether staff received disaster response training.

### M307 - Percent of long-stay nursing home residents in the state that are assessed and appropriately given the seasonal influenza vaccine

Source: Centers for Medicare & Medicaid Services (CMS), Nursing Home State Averages

Limitations: Vaccine effectiveness varies each year as a function of the accuracy in predicting the influenza strains covered by each year's vaccine. As a result, expected influenza protection and reduced demand on health care facilities may be marginal in the event of a major disaster.

### M310 - Average number of licensed practical nurse (LPN) staffing hours per resident per 2014—2021 day in nursing homes in the state

Source: Centers for Medicare & Medicaid Services (CMS), Nursing Home State Averages

Limitations: The measure source data are collected during a specific two-week period and do not take into account variations related to season, region, resident acuity, skill mix of other care providers, and other factors. The measure does not evaluate staff availability for a disaster or whether staff received disaster response training.

## M303B - Number of licensed skilled nursing facilities with deficiencies in compliance with 2014—2020 Centers for Medicare & Medicaid Services (CMS) Emergency Preparedness requirements, per 100 facilities in the state (1=Highest Quintile, 5=Lowest Quintile) (reverse coded)

Source: Centers for Medicare & Medicaid Services (CMS), Nursing Facility Inspection Reports

Limitations: Nursing facility inspectors may vary in their ability to detect meaningful deficiencies in emergency plans.

### M23NH - Number of disease outbreaks in nursing homes or assisted living facilities per 2012—2019 10,000 certified nursing home residents in a state (reverse coded)

Source: Centers for Disease Control and Prevention (CDC), National Outbreak Reporting System (NORS)

<sup>\*</sup>This is a Foundational Measure that is universally achieved for all jurisdictions and all years of the Index. Values were confirmed in the baseline year, because data are not available for all subsequent years.

Data Date(s)

Limitations: States vary in their ability to detect and report outbreaks in long-term care settings.

#### M880 - Percentage of nursing home residents at facilities that did not have an infection control deficiency.

2017—2020

Source: Centers for Medicare & Medicaid Services (CMS), Nursing Home Compare, Health Deficiencies Limitations: Since this measure is dependent upon government health inspectors valuating and citing nursing homes for failing to ensure that all workers follow infection prevention and control rules, a cited deficiency is a function of the availability and diligence of inspectors.

#### **Domain 4: Health Care Delivery**

Subdomain 4.4: Mental & Behavioral Health Care

#### M316 - Percent of hospitals in the state providing psychiatric emergency services

2012-2018

Source: American Hospital Association (AHA), Annual Survey of Hospitals

Limitations: The measure source data does not have a standard definition of emergency psychiatric services, and survey respondents may have different interpretations for positive responses. All hospital emergency medical services include emergency psychiatric services, but fewer hospitals have more complete, specialty-staffed, comprehensive psychiatric emergency services. Negative responses may indicate the absence of any emergency psychiatric services, or the absence of a separate, identifiable, comprehensive service. The measure does not evaluate the extent of service integration with other disaster preparedness and response efforts by the hospital or emergency psychiatric service, or the disaster-related services provided such as mobile crisis response capacity and telephone-based crisis services.

#### M317 - Percent of need met for mental health care in health professional shortage areas (HPSA) in the state

2014, 2016— 2020

Source: The Henry J. Kaiser Family Foundation, Mental Health Care Health Professional Shortage Areas (HPSA)

Limitations: The measure data is based on the availability of psychiatrists, and does not include other behavioral health professionals (e.g., psychologists, social workers, licensed counselors, pastoral counselors, psychiatric nurses) who provide the majority of behavioral health services following disasters. The measure does not consider the ability of a state to temporarily move mental health resources within the state in response to a disaster, such as state trained and certified crisis teams that can be activated and deployed to disaster zones and rapidly supplement local resources. In addition, the measure does not evaluate lack of provider availability and readiness during disasters due to appointment waiting lists, contractual obligations to serve certain populations, or their status of skills and training necessary for optimal performance in disasters.

### M800 - Percent of the state's population not living in a Health Resources & Services Administration (HRSA) Mental Health Professional Shortage Area (HPSA)

2015-2020

Source: U.S. Census Bureau and Health Resources & Services Administration (HRSA) data analyzed by PMO personnel

Limitations: The measure data is estimated based on matching U.S. Census area definitions with the geographic boundaries for HRSA Mental HPSA.

<sup>\*</sup>This is a Foundational Measure that is universally achieved for all jurisdictions and all years of the Index. Values were confirmed in the baseline year, because data are not available for all subsequent years.

#### **Domain 4: Health Care Delivery**

Subdomain 4.5: Home Care

### M291 - Percent of home health episodes of care in the state where the home health team determined whether their patient received a flu shot for the current flu season

2013-2020

Source: Centers for Medicare & Medicaid Services (CMS), Home Health Care-State by State Data

Limitations: Vaccine effectiveness varies each year as a function of the accuracy in predicting the influenza strains covered by each year's vaccine. As a result, expected influenza protection and reduced demand on health care facilities may be marginal in the event of a major disaster.

### M292 - Percent of home health episodes of care in the state where the home health team began their patients' care in a timely manner

2013-2020

Source: Centers for Medicare & Medicaid Services (CMS), Home Health Care-State by State Data

Limitations: The measure does not evaluate the quality of the services provided including length of service delays.

### M293 - Number of home health and personal care aides per 1,000 population in the state 2012—2019 aged 65 or older

Source: American Community Survey (ACS), 1-year Public Use Microsample (PUMS) data analyzed by PMO personnel (3-year average)

Limitations: The measure does not evaluate availability of home health aide services during a health emergency, or whether providers have emergency care plans for their clients.

#### **Domain 5: Countermeasure Management**

Subdomain 5.1: Medical Materiel Management, Distribution, & Dispensing

### M60\* - State has developed a written countermeasure management plan including Strategic National Stockpile (SNS) elements

2012-2019

Source: Centers for Disease Control and Prevention (CDC) Public Health Emergency Preparedness (PHEP)

Limitations: The measure does not evaluate whether the state has the resources and ability to implement the plan in a timely and effective manner.

#### M161 - Number of Pharmacists per 100,000 population in the state

2012-2019

Source: Bureau of Labor Statistics (BLS), Occupational Employment Statistics (OES)

Limitations: The measure does not consider mutual aid plans that may be in place for health care facilities to supplement the number of available pharmacists in the event of an emergency. Also, the BLS and other national data sources on health provider supply have been shown to undercount certain types of providers, and may differ considerably from the estimates available from state licensing boards. Since the measurement undercounting in the BLS data is expected to be relatively consistent across states, they should not cause significant bias in the Index state and national results. The BLS produces occupational estimates by surveying a sample of non-farm establishments. As such, estimates produced through the OES program are subject to sampling error.

#### M270 - Percent of hospitals in the state participating in a group purchasing arrangement

2012-2018

Source: American Hospital Association (AHA), Annual Survey of Hospitals

Limitations: Although group purchasing arrangements may be in place, many other economic and non-economic factors affect shortages of drugs and medical supplies and create gaps in the supply chain.

<sup>\*</sup>This is a Foundational Measure that is universally achieved for all jurisdictions and all years of the Index. Values were confirmed in the baseline year, because data are not available for all subsequent years.

#### **Domain 5: Countermeasure Management**

Subdomain 5.2: Countermeasure Utilization & Effectiveness

# M24 - Percent of children ages 19-35 months in the state receiving recommended routine childhood vaccinations, including four or more doses of diphtheria, tetanus, and pertussis vaccine, three or more doses of poliovirus vaccine, one or more doses of any measles-containing vaccine, and three or more doses of Hepatitis B vaccine

2012-2019

Source: Centers for Disease Control and Prevention (CDC), National Center for Health Statistics (NCHC), National Immunization Survey (NIS)

Limitations: The measure evaluates routine vaccines for preventable disease in pre-school age children, and may not reflect the vaccination rate for a severe emerging disease.

#### M32 - Percent of seniors age 65 and older in the state receiving a seasonal flu vaccination 2013—2020

Source: Centers for Disease Control and Prevention (CDC), National Immunization Survey (NIS) and the Behavioral Risk Surveillance System (BRFSS), FluVaxView State, Regional, and National Vaccination Report Limitations: Vaccine effectiveness varies each year as a function of the accuracy in predicting the influenza strains covered by each year's vaccine. As a result, expected influenza protection and reduced demand on health care facilities may be marginal in the event of a major disaster.

### M33 - Percent of seniors age 65 and older in the state receiving a pneumococcal vaccination

2012-2019

Source: Centers for Disease Control and Prevention (CDC), Behavioral Risk Factor Surveillance System Survey Questionnaire (BRFSS). Atlanta, Georgia: U.S. Department of Health and Human Services, Centers for Disease Control and Prevention. Survey data analyzed by PMO personnel.

Limitations: The measure evaluates the recommended vaccine for preventable disease in seniors and may not reflect the vaccination rate for a severe emerging disease.

### M34 - Percent of children aged six months to four years old in the state receiving a seasonal flu vaccination

2012-2020

Source: Centers for Disease Control and Prevention (CDC), National Center for Health Statistics (NCHC), National Immunization Survey (NIS)

Limitations: Vaccine effectiveness varies each year as a function of the accuracy in predicting the influenza strains covered by each year's vaccine. As a result, expected influenza protection and reduced demand on health care facilities may be marginal in the event of a major disaster.

### M35 - Percent of adults aged 18 years and older in the state receiving a seasonal flu vaccination

2013-2020

Source: Centers for Disease Control and Prevention (CDC), National Immunization Survey (NIS) and the Behavioral Risk Surveillance System (BRFSS), FluVaxView State, Regional, and National Vaccination Report Limitations: Vaccine effectiveness varies each year as a function of the accuracy in predicting the influenza strains covered by each year's vaccine. As a result, expected influenza protection and reduced demand on health care facilities may be marginal in the event of a major disaster.

#### **Domain 6: Environmental & Occupational Health**

Subdomain 6.1: Food & Water Security

#### M275\_DW - State public health laboratory provides or assures testing for drinking water

2012, 2014, 2016, & 2018

Source: Association of Public Health Laboratories (APHL), Comprehensive Laboratory Services Survey (CLSS)

Limitations: The state public health laboratory testing "provide or assure" standard is based on national consensus expert opinion and is recommended by the Centers for Disease Control and Prevention (CDC) and the U.S. Department of Health and Human Services (HHS), and is reflected in the Healthy People 2020 goals

<sup>\*</sup>This is a Foundational Measure that is universally achieved for all jurisdictions and all years of the Index. Values were confirmed in the baseline year, because data are not available for all subsequent years.

concerning access to comprehensive public health and environmental health laboratory testing. This standard requires the state public health authority, through its laboratory, engage in the testing and reporting process — either by directly performing the tests or by assuring that alternative labs perform the tests adequately. This standard is designed to ensure that laboratory testing, interpretation, and reporting is guided by specialized public health knowledge and expertise found within the state public health agency, and that timely, effective public health responses and protective actions occur based on test results. States that provide testing through another type of laboratory, with no assurance role performed by the public health laboratory, do not meet this standard. (see

https://www.ncbi.nlm.nih.gov/pmc/articles/PMC2846798/). Inclusion of this measure ensures that the Index is consistent with national expert opinion and federal recommendations concerning comprehensive public health laboratory testing capabilities. However, the measure does not assess the quality of the testing, the timeliness of results reporting to enable responses to public health threats, nor whether sufficient capacity exists to test the volume of samples required during a health security event.

### M275\_PWW - State public health laboratory provides or assures testing for private well water

2012, 2014, 2016, & 2018

Source: Association of Public Health Laboratories (APHL), Comprehensive Laboratory Services Survey (CLSS)

Limitations: The state public health laboratory testing "provide or assure" standard is based on national consensus expert opinion and is recommended by the Centers for Disease Control and Prevention (CDC) and the U.S. Department of Health and Human Services (HHS), and is reflected in the Healthy People 2020 goals concerning access to comprehensive public health and environmental health laboratory testing. This standard requires the state public health authority, through its laboratory, engage in the testing and reporting process — either by directly performing the tests or by assuring that alternative labs perform the tests adequately. This standard is designed to ensure that laboratory testing, interpretation, and reporting is guided by specialized public health knowledge and expertise found within the state public health agency, and that timely, effective public health responses and protective actions occur based on test results. States that provide testing through another type of laboratory, with no assurance role performed by the public health laboratory, do not meet this standard. (see

https://www.ncbi.nlm.nih.gov/pmc/articles/PMC2846798/).

#### M275\_REC - State public health laboratory provides or assures testing for recreational water

2012, 2014, 2016, & 2018

Source: Association of Public Health Laboratories (APHL), Comprehensive Laboratory Services Survey (CLSS)

Limitations: The state public health laboratory testing "provide or assure" standard is based on national consensus expert opinion and is recommended by the Centers for Disease Control and Prevention (CDC) and the U.S. Department of Health and Human Services (HHS), and is reflected in the Healthy People 2020 goals concerning access to comprehensive public health and environmental health laboratory testing. This standard requires the state public health authority, through its laboratory, engage in the testing and reporting process – either by directly performing the tests or by assuring that alternative labs perform the tests adequately. This standard is designed to ensure that laboratory testing, interpretation, and reporting is guided by specialized public health knowledge and expertise found within the state public health agency, and that timely, effective public health responses and protective actions occur based on test results. States that provide testing through another type of laboratory, with no assurance role performed by the public health laboratory, do not meet this standard. (see

https://www.ncbi.nlm.nih.gov/pmc/articles/PMC2846798/).

M275\_SUR - State public health laboratory provides or assures testing for surface water

2012, 2014, 2016, & 2018

<sup>\*</sup>This is a Foundational Measure that is universally achieved for all jurisdictions and all years of the Index. Values were confirmed in the baseline year, because data are not available for all subsequent years.

Source: Association of Public Health Laboratories (APHL), Comprehensive Laboratory Services Survey (CLSS)

Limitations: The state public health laboratory testing "provide or assure" standard is based on national consensus expert opinion and is recommended by the Centers for Disease Control and Prevention (CDC) and the U.S. Department of Health and Human Services (HHS), and is reflected in the Healthy People 2020 goals concerning access to comprehensive public health and environmental health laboratory testing. This standard requires the state public health authority, through its laboratory, engage in the testing and reporting process – either by directly performing the tests or by assuring that alternative labs perform the tests adequately. This standard is designed to ensure that laboratory testing, interpretation, and reporting is guided by specialized public health knowledge and expertise found within the state public health agency, and that timely, effective public health responses and protective actions occur based on test results. States that provide testing through another type of laboratory, with no assurance role performed by the public health laboratory, do not meet this standard. (see

https://www.ncbi.nlm.nih.gov/pmc/articles/PMC2846798/). Selected responses from the 2016 survey have been corrected for North Carolina and therefore no longer correspond to the originally published survey results.

#### M275\_WST - State public health laboratory provides or assures testing for waste water

2012, 2014, 2016, & 2018

Source: Association of Public Health Laboratories (APHL), Comprehensive Laboratory Services Survey (CLSS)

Limitations: The state public health laboratory testing "provide or assure" standard is based on national consensus expert opinion and is recommended by the Centers for Disease Control and Prevention (CDC) and the U.S. Department of Health and Human Services (HHS), and is reflected in the Healthy People 2020 goals concerning access to comprehensive public health and environmental health laboratory testing. This standard requires the state public health authority, through its laboratory, engage in the testing and reporting process – either by directly performing the tests or by assuring that alternative labs perform the tests adequately. This standard is designed to ensure that laboratory testing, interpretation, and reporting is guided by specialized public health knowledge and expertise found within the state public health agency, and that timely, effective public health responses and protective actions occur based on test results. States that provide testing through another type of laboratory, with no assurance role performed by the public health laboratory, do not meet this standard. (see

https://www.ncbi.nlm.nih.gov/pmc/articles/PMC2846798/). Selected responses from the 2016 survey have been corrected for North Carolina and therefore no longer correspond to the originally published survey results.

M276 - Percent of 16 tests for different organisms or toxins that the state public health laboratory provides or assures to assist with foodborne disease outbreak investigations, including Bacillus cereus, Brucella sp., Campylobacter sp., Clostridium botulinum, Clostridium perfringens, Cryptosporidium sp., Cyclospora cayetanensis, Listeria monocytogenes, norovirus, Salmonella, Shigella, Staphylococcus aureus, STEC non-O157, STEC O157, Vibrio sp., Yersinia enterocolitica.

2012, 2014, 2016, & 2018

Source: Association of Public Health Laboratories (APHL), Comprehensive Laboratory Services Survey (CLSS)

Limitations: The state public health laboratory testing "provide or assure" standard is based on national consensus expert opinion and is recommended by the U.S. Centers for Disease Control and Prevention (CDC) and the U.S. Department of Health and Human Services, and is reflected in the Healthy People 2020 goals concerning access to comprehensive public health and environmental health laboratory testing. This standard requires the state public health authority, through its laboratory, engage in the testing and reporting process – either by directly performing the tests or by assuring that alternative labs perform the

\*This is a Foundational Measure that is universally achieved for all jurisdictions and all years of the Index. Values were confirmed in the baseline year, because data are not available for all subsequent years.

tests adequately. This standard is designed to ensure that laboratory testing, interpretation, and reporting is guided by specialized public health knowledge and expertise found within the state public health agency, and that timely, effective public health responses and protective actions occur based on test results. States that provide testing through another type of laboratory, with no assurance role performed by the public health laboratory, do not meet this standard. (see

https://www.ncbi.nlm.nih.gov/pmc/articles/PMC2846798/).

### M195 - Percentage of community water systems in a state that meet all applicable health-based standards

2012-2019

Source: Environmental Protection Agency (EPA), Safe Drinking Water Information System Federal (SDWIS/FED) Drinking Water Data

Limitations: The measure does not evaluate drinking water supplies that are non-public (private), or provide information on community water supplies that were adversely affected by emergencies or disasters.

#### M925 - Percentage of community water systems in a state that meet all applicable non-health-based standards

Source: Environmental Protection Agency (EPA), Safe Drinking Water Information System Federal (SDWIS/FED) Drinking Water Data

Limitations: The measure does not cover drinking water supplies that are non-public (private) and does not directly provide information on community water supplies that were adversely affected by emergencies or disasters.

## M23PC - Number of foodborne illness outbreaks reported to the Centers for Disease Control and Prevention (CDC) by state and local public health departments for which a causative infectious agent is confirmed (per 1 million population) (reverse coded)

2012-2019

2016, & 2018

Source: Centers for Disease Control and Prevention (CDC), National Outbreak Reporting System (NORS)

Limitations: The measure does not evaluate the quality or comprehensiveness of the state's reporting of foodborne illness outbreaks.

## Domain 6: Environmental & Occupational Health Subdomain 6.2: Environmental Monitoring M202 - State public health laboratory provides or assures testing for air samples 2012, 2014,

Source: Association of Public Health Laboratories (APHL), Comprehensive Laboratory Services Survey (CLSS)

Limitations: The state public health laboratory testing "provide or assure" standard is based on national consensus expert opinion and is recommended by the Centers for Disease Control and Prevention (CDC) and the U.S. Department of Health and Human Services (HHS), and is reflected in the Healthy People 2020 goals concerning access to comprehensive public health and environmental health laboratory testing. This standard requires the state public health authority, through its laboratory, engage in the testing and reporting process – either by directly performing the tests or by assuring that alternative labs perform the tests adequately. This standard is designed to ensure that laboratory testing, interpretation, and reporting is guided by specialized public health knowledge and expertise found within the state public health agency, and that timely, effective public health responses and protective actions occur based on test results. States that provide testing through another type of laboratory, with no assurance role performed by the public health laboratory, do not meet this standard. (see

https://www.ncbi.nlm.nih.gov/pmc/articles/PMC2846798/). Selected responses from the 2016 survey have been corrected for North Carolina and therefore no longer correspond to the originally published survey results.

<sup>\*</sup>This is a Foundational Measure that is universally achieved for all jurisdictions and all years of the Index. Values were confirmed in the baseline year, because data are not available for all subsequent years.

### M257\_AIHA - State public health laboratory is certified or accredited by the American Industrial Hygiene Association (AIHA)

2012, 2014, 2016, & 2018

Source: Association of Public Health Laboratories (APHL), Comprehensive Laboratory

Services Survey (CLSS)

Limitations: Data are self-reported by public health laboratory representatives and may reflect differences in awareness, perspective, and interpretation among respondents.

### M257\_EPA - State public health laboratory is certified or accredited by the Environmental Protection Agency (EPA)

2012, 2014, 2016, & 2018

Source: Association of Public Health Laboratories (APHL), Comprehensive Laboratory Services Survey (CLSS)

Limitations: Data are self-reported by public health laboratory representatives and may reflect differences in awareness, perspective, and interpretation among respondents.

### M257\_NELAC - State public health laboratory is certified or accredited by the National Environmental Laboratory Accreditation Conference (NELAC)

2012, 2014, 2016 & 2018

Source: Association of Public Health Laboratories (APHL), Comprehensive Laboratory Services Survey (CLSS)

Limitations: Data are self-reported by public health laboratory representatives and may reflect differences in awareness, perspective, and interpretation among respondents.

### M196\* - State public health laboratory provides or assures testing for environmental samples in the event of suspected chemical terrorism

2012, 2014, 2016, & 2018

Source: Association of Public Health Laboratories (APHL), Comprehensive Laboratory Services Survey (CLSS)

Limitations: The state public health laboratory testing "provide or assure" standard is based on national consensus expert opinion and is recommended by the Centers for Disease Control and Prevention (CDC) and the U.S. Department of Health and Human Services (HHS), and is reflected in the Healthy People 2020 goals concerning access to comprehensive public health and environmental health laboratory testing. This standard requires the state public health authority, through its laboratory, engage in the testing and reporting process – either by directly performing the tests or by assuring that alternative labs perform the tests adequately. This standard is designed to ensure that laboratory testing, interpretation, and reporting is guided by specialized public health knowledge and expertise found within the state public health agency, and that timely, effective public health responses and protective actions occur based on test results. States that provide testing through another type of laboratory, with no assurance role performed by the public health laboratory, do not meet this standard. (see

https://www.ncbi.nlm.nih.gov/pmc/articles/PMC2846798/).

M272 - Percent of 12 tests for different contaminants in environmental samples that the state public health laboratory provides or assures, including asbestos, explosives, gross alpha and gross beta, inorganic compounds (e.g., nitrates), metals, microbial, lead, persistent organic pollutants, pesticides (including organophosphates), pharmaceuticals, radon, or volatile organic compounds

2012, 2014, 2016, & 2018

Source: Association of Public Health Laboratories (APHL), Comprehensive Laboratory Services Survey (CLSS)

Limitations: The state public health laboratory testing "provide or assure" standard is based on national consensus expert opinion and is recommended by the Centers for Disease Control and Prevention (CDC) and the U.S. Department of Health and Human Services (HHS), and is reflected in the Healthy People 2020 goals concerning access to comprehensive public health and environmental health laboratory testing. This standard requires the state public health authority, through its laboratory, engage in the testing and reporting process – either by directly performing the tests or by assuring that alternative labs perform the tests adequately. This standard is designed to ensure that laboratory testing, interpretation, and reporting is

<sup>\*</sup>This is a Foundational Measure that is universally achieved for all jurisdictions and all years of the Index. Values were confirmed in the baseline year, because data are not available for all subsequent years.

guided by specialized public health knowledge and expertise found within the state public health agency, and that timely, effective public health responses and protective actions occur based on test results. States that provide testing through another type of laboratory, with no assurance role performed by the public health laboratory, do not meet this standard. (see

https://www.ncbi.nlm.nih.gov/pmc/articles/PMC2846798/).

#### M273 - State public health laboratory provides or assures testing for hazardous waste

2012, 2014, 2016, & 2018

Source: Association of Public Health Laboratories (APHL), Comprehensive Laboratory Services Survey (CLSS)

Limitations: The state public health laboratory testing "provide or assure" standard is based on national consensus expert opinion and is recommended by the Centers for Disease Control and Prevention (CDC) and the U.S. Department of Health and Human Services (HHS), and is reflected in the Healthy People 2020 goals concerning access to comprehensive public health and environmental health laboratory testing. This standard requires the state public health authority, through its laboratory, engage in the testing and reporting process – either by directly performing the tests or by assuring that alternative labs perform the tests adequately. This standard is designed to ensure that laboratory testing, interpretation, and reporting is guided by specialized public health knowledge and expertise found within the state public health agency, and that timely, effective public health responses and protective actions occur based on test results. States that provide testing through another type of laboratory, with no assurance role performed by the public health laboratory, do not meet this standard. (see

https://www.ncbi.nlm.nih.gov/pmc/articles/PMC2846798/). Selected responses from the 2016 survey have been corrected for North Carolina and therefore no longer correspond to the originally published survey results.

#### M274\* - State participates in the National Plant Diagnostic Network (NPDN)

2014-2019

Source: National Plant Diagnostic Network (NPDN), National Plant Diagnostic website

Limitations: The measure does not evaluate the level or effectiveness of the state participation, including the resources committed and state success in quickly detecting and identifying pathogens.

### M904 - Number of environmental scientists and specialists (including health) per 100,000 2012—2019 population in the state

Source: Bureau of Labor Statistics (BLS), Occupational Employment Statistics (OES), OES 19-2041

Limitations: The measure does not evaluate the level of training of the environmental and health scientists. The measure does not consider mutual aid plans that may be in place for agencies to supplement the number of available environmental and health scientists in the event of an emergency. Also, the BLS and other national data sources on health provider supply have been shown to undercount certain types of health professionals, and may differ considerably from the estimates available from state medical licensing boards. Since the measurement undercounting in the BLS data are expected to be relatively consistent across states, they should not cause significant bias in the Index state and national results. The BLS produces occupational estimates by surveying a sample of non-farm establishments. As such, estimates produced through the OES program are subject to sampling error.

### M23A - Number of disease outbreaks in a state due to animal contact per 1 million population (reverse coded)

2012-2019

Source: Centers for Disease Control and Prevention (CDC), National Outbreak Reporting System (NORS)

Limitations: The measure does not evaluate the quality or comprehensiveness of the state's reporting of illness outbreaks.

#### **Domain 6: Environmental & Occupational Health**

<sup>\*</sup>This is a Foundational Measure that is universally achieved for all jurisdictions and all years of the Index. Values were confirmed in the baseline year, because data are not available for all subsequent years.

#### Subdomain 6.3: Occupational Health

### M922 - Transportation Structural Integrity, percent of bridges that are in good or fair condition (not poor)

2012-2019

Source: U.S. Department of Transportation (DOT), Federal Highway Administration (FHWA), Office of Bridges and Structures

Limitations: The frequency of bridge inspections varies according to numerous criteria. Most bridges are on a one-, two-, or four-year inspection cycle. Consequently, the data year does not necessarily coincide with the inspection year.

### M923 - Surface Water Control Structural Integrity, percent of High-Hazard Potential Dams that are in Fair or Satisfactory condition

2016, 2018, & 2019

Source: U.S. Corp of Engineers, National Inventory of Dams (NID) and the Association of State Dam Safety Officials (ASDSO)

Limitations: A small, but growing number of states exempt categories of dams from inspection based on the purpose of the impoundment or the owner type. Nationally roughly a quarter (22%) of the high-hazard dams are not rated for condition, with wide differences among the states.

# M928 - Housing Mitigation for Flood Hazards, population living in a community participating in the Federal Emergency Management Agency (FEMA) Community Rating System (communities with a CRS of 1 through 9) as a percent of all communities participating in the National Flood Insurance Program (NFIP)

2017—2018

Source: Federal Emergency Management Agency (FEMA) National Flood Insurance Program (NFIP) Community Rating System (CRS)

Limitations: Participation in the NFIP is voluntary. It is possible that some communities located in flood zones are not part of the NFIP.

## M929 - Flood Insurance Coverage, Federal Emergency Management Agency (FEMA) National Flood Insurance Policies (NFIP) in-force as a percentage of total housing units located in 100- and 500-year floodplains

2013-2018

Source: U.S. Department of Homeland Security, Federal Emergency Management Agency (FEMA), National Flood Insurance Program (NFIP), and the NYU Furman Center (FloodzoneData.us)

Limitations: Participation in the NFIP is voluntary. It is possible that some communities located in flood zones are not part of the NFIP. Also, many flood zone maps are outdated.

#### M334 - State has a climate change adaptation plan

2014-2020

Source: Center for Climate and Energy Solutions (C2ES), State and Local Climate Adaptation Limitations: The measure does not evaluate the quality or comprehensiveness of the plan, or the degree to which the plan is implemented.

#### **Domain 6: Environmental & Occupational Health**

Subdomain 6.4: Built Environment

### M530 - Percent of employed workers in the state who used some type of paid time off (PTO) benefit.

2013-2020

Source: Current Population Survey (CPS), Annual Social and Economic Supplement (ASEC) data analyzed by PMO personnel

Limitations: The measure data is estimated based on a survey of a sample of the general population.

### M531 - Percent of employed population in the state engaging in some work from home by telecommuting

2011—2013, 2015, 2017, &

2019

<sup>\*</sup>This is a Foundational Measure that is universally achieved for all jurisdictions and all years of the Index. Values were confirmed in the baseline year, because data are not available for all subsequent years.

Source: Current Population Survey (CPS), Work Schedules Supplement data analyzed by PMO personnel.

Limitations: The measure data is estimated based on a survey of a sample of the general population.

M705 - Percent of employed population (16 and older) in the state who work from home 2012—2019

Source: American Community Survey (ACS), 1-year estimate (Table B08128)

Limitations: The measure data does not include all individuals who can work at home on a "part-time" basis.

<sup>\*</sup>This is a Foundational Measure that is universally achieved for all jurisdictions and all years of the Index. Values were confirmed in the baseline year, because data are not available for all subsequent years.